

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: March 6, 2001

Benfield



Serial No.: 09/734,403

Group Art Unit: Unassigned

Filed: December 11, 2000

Examiner: Unassigned

For: METHOD AND SYSTEM FOR INTEGRATING ENCRYPTION
 FUNCTIONALITY INTO A DATABASE SYSTEM

Attn: Office of Petitions
 Honorable Commissioner of Patents and Trademarks
 Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

In response to the Notice of Incomplete Nonprovisional Application dated February 6, 2001, please find enclosed the following:

- 1) Copy of Notice of Incomplete Nonprovisional Application dated February 6, 2001
- 2) Petition in Response to Notice of Incomplete Application
- 3) Check no. 2902 in the amount of \$130.00
- 4) Copy of Return Receipt Postcard and Copy of Attorney's Checklist
- 5) Newly executed Declaration
- 6) Copy of Complete Application as originally filed December 11, 2000
- 7) Transmittal Letter (original and two copies)
- 8) Postcard

If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

Respectfully submitted,

Joseph A. Sawyer, Jr.
 Sawyer Law Group LLP
 Attorney for Applicant(s)
 Reg. No. 30,801
 (650) 493-4540

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231, March 6, 2001.

Joseph A. Sawyer, Jr.

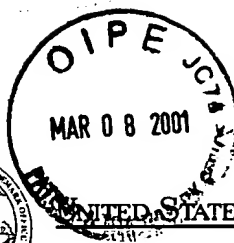


JAS Docket/Client: IBM 1858P Date: December 11, 2000
Serial No.: _____ Filed: December 11, 2000
Inventor: Benfield, et al. Express Mail No.: EL547857041US
Title: METHOD AND SYSTEM FOR INTEGRATING ENCRYPTION FUNCTIONALITY INTO A DATABASE SYSTEM

THE STAMP OF THE USPTO HEREON CERTIFIES RECEIPT OF THE FOLLOWING:

<input checked="" type="checkbox"/> Patent Application Transmittal	_____ Amendment _____
<u>8</u> Pages of Specification	_____ Transmittal Letter _____
<u>4</u> Pages of Claims	<input checked="" type="checkbox"/> IDS/PTO Form 1449
<u>1</u> Page(s) of Abstract	<u>4</u> References <u>DEC 28 2000</u>
<u>1</u> Sheets of Drawings	_____ Part B - Issue Fee Transmittal _____
<input checked="" type="checkbox"/> Declaration	_____ Letter to Draftsman _____
<input checked="" type="checkbox"/> Power of Attorney	_____ Petition for _____ Month(s) Ext. of Time _____
<input checked="" type="checkbox"/> Assignment and Recordation Cover Sheet	<input checked="" type="checkbox"/> Fee Payment: \$ 710.00+40.00
_____ Small Entity Status Declaration _____	Check No. <u>2409</u> Deposit Account <u>X</u>

Other: _____



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/734,403	12/11/2000	Bruce Benfield	STL9-2000-0072US1/1858P

CONFIRMATION NO. 5418

FORMALITIES LETTER



OC000000005738398

Joseph A. Sawyer, Jr.
Sawyer Law Group LLP
P.O. Box 51418
Palo Alto, CA 94303

FEB 12 2001

Date Mailed: 02/06/2001

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(i)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The specification is missing.
- The specification does not include at least one claim.

*A copy of this notice **MUST** be returned with the reply.*

Haimanot Tegbaru

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/12/2001 144 09734403

01 FC:105 130.00 DP